

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

Attorney Docket No. 9761-000292/US

First Inventor Duan-Cheng HSIEH

Title LAMP SHADE ASSEMBLY

Express Mail Label No. \_\_\_\_\_

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

1.  Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2.  Applicant claims small entity status. Two (2) Verified Statements Attached.  
See 37 CFR 1.27.
3.  Specification [Total Pages 12]  
(preferred arrangement set forth below)  
- Descriptive title of the Invention  
- Cross References to Related Applications  
- Statement Regarding Fed sponsored R & D  
- Reference to sequence listing, a table, or a computer program listing appendix  
- Background of the Invention  
- Brief Summary of the Invention  
- Brief Description of the Drawings (if filed)  
- Detailed Description  
- Claim(s)  
- Abstract of the Disclosure
4.  Drawing(s) (35 U.S.C.113) [Total Sheets 8 ]
5. Oath or Declaration [Total Pages 3 ]  
a.  Newly executed (original or copy)  
b.  Copy from a prior application (37 CFR 1.63 (d))  
(for a continuation/divisional with Box 18 completed)  
i.  **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6.  Application Data Sheet. See 37 CFR 1.76

**ADDRESS TO:**Commissioner for Patents  
Box Patent Application  
P.O. Box 1450  
Alexandria, VA 22313-1450

7.  CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)  
a.  Computer Readable Form (CRF)  
b. Specification Sequence Listing on:  
i.  CD-ROM or CD-R (2 copies); or  
ii.  paper  
c.  Statements verifying identity of above copies

**ACCOMPANYING APPLICATIONS PARTS**

9.  Assignment Papers (cover sheet & document(s))
10.  37 C.F.R. §3.73(b) Statement  Power of Attorney (when there is an assignee)
11.  English Translation Document (if applicable)
12.  Information Disclosure Statement (IDS)/PTO-1449  Copies of IDS Citations
13.  Preliminary Amendment
14.  Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15.  Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16.  Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17.  Other: \_\_\_\_\_

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

 Continuation     Divisional     Continuation-in-part (CIP)

of prior application No: \_\_\_\_\_ / \_\_\_\_\_

Prior application information: Examiner \_\_\_\_\_

Group / Art Unit: \_\_\_\_\_

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**17. CORRESPONDENCE ADDRESS** Customer Number or Bar Code Label

30593

30593

or  Correspondence address below

(Insert Customer No. or Attach bar code label here)

Name	Harness, Dickey & Pierce, P.L.C.				
Address	P.O. Box 8910				
City	Reston	State	VA	Zip Code	20195
Country	United States of America	Telephone	703-668-8000		Fax 703-668-8200

Name (Print/Type)	Terry L. Clark	Registration No. (Attorney/Agent)	32,644
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Signature			Date	August 26, 2003
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Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark

22387 U.S. 641328  
10/26/03

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

1834 U.S. PTO  
08/26/03

# FEE TRANSMITTAL for FY 2003

Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27**TOTAL AMOUNT OF PAYMENT** (\$ 415)**Complete if Known**

Application Number	NEW
Filing Date	August 26, 2003
First Named Inventor	Duan-Cheng HSIEH
Examiner Name	Unknown
Group / Art Unit	Unknown
Attorney Docket No.	9761-000292/US

**METHOD OF PAYMENT (check all that apply)**
 Check  Credit card  Money  Other  None Order
 Deposit Account:

Deposit Account Number

08-0750

Deposit Account Name

Harness, Dickey &amp; Pierce, P.L.C.

**The Commissioner is authorized to: (check all that apply)**

- 
- Charge fee(s) indicated below
- 
- Credit any overpayments
- 
- 
- Charge any additional fee(s) during the pendency of this application
- 
- 
- Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid				
		1051	130	2051	65	Surcharge - late filing fee or oath					
		1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet					
		1053	130	1053	130	Non-English specification					
		1812	2,520	1812	2,520	For filing a request for reexamination					
		1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action					
		1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action					
		1251	110	2251	55	Extension for reply within first month					
		1252	410	2252	205	Extension for reply within second month					
		1253	930	2253	465	Extension for reply within third month					
		1254	1,450	2254	725	Extension for reply within fourth month					
		1255	1,970	2255	985	Extension for reply within fifth month					
		1401	320	2401	160	Notice of Appeal					
		1402	320	2402	160	Filing a brief in support of an appeal					
		1403	280	2403	140	Request for oral hearing					
		1451	1,510	1451	1,510	Petition to institute a public use proceeding					
		1452	110	2452	55	Petition to revive – unavoidable					
		1453	1,300	2453	650	Petition to revive – unintentional					
		1501	1,300	2501	650	Utility issue fee (or reissue)					
		1502	470	2502	235	Design issue fee					
		1503	630	2503	315	Plant issue fee					
Total Claims	12	-20 **	= 0	X 9	= 0	Petitions to the Commissioner					
Independent Claims	1	-3 **	= 0	X 42	= 0	Processing fee under 37 CFR 1.17 (q)					
Multiple Dependent				X 0	= 0	Submission of Information Disclosure Stmt					
						Recording each patent assignment per property (times number of properties)	40				
						1809	750	2809	375	Filing a submission after final rejection (37 CFR § 1.129(a))	
						1810	750	2810	375	For each additional invention to be examined (37 CFR § 1.129(b))	
						1801	750	2801	375	Request for Continued Examination (RCE)	
						1802	900	1802	900	Request for expedited examination of a design application	
						Other fee (specify)					

\*Reduced by Basic Filing Fee Paid

**SUBTOTAL (3)**

(\$ 40)

\*\*or number previously paid, if greater; For Reissues, see above

**SUBMITTED BY****Complete (if applicable)**

Name (Print/Type)	Terry L. Clark	Registration No. Attorney/Agent)	32,644	Telephone	703/668-8000
Signature				Date	August 26, 2003

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